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7590

01/16/2004

Maria A. Trevisan
 Wolf, Greenfield & Sacks, P.C.
 Federal Reserve Plaza
 600 Atlantic Avenue
 Boston, MA 02210



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Christina P. Andrews (Depositor's name)
 Christina P. Andrews (Signature)
 4/16/04 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,101	09/26/2001	Arthur M. Krieg	C01039/70057/MAT	3959

TITLE OF INVENTION: VECTORS AND METHODS FOR IMMUNIZATION OR THERAPEUTIC PROTOCOLS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	04/16/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, DAVE TRONG	1632	514-044000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Wolf, Greenfield &
 2. Sacks, P.C.
 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

University of Iowa Research Foundation Iowa City, Iowa

Please check the appropriate assignee category or categories (will not be printed on the patent);

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed. \$1630☐ Payment by credit card. Form PTO-2038 is attached.

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(Authorized Signature)

(Date)

Pct. L.H. Wall 4/16/04

04/20/2004 HLE444 00000083 09965101

01 FC:1501

1330.00 OP

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



DOCKET NO: C1039.70057US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Krieg et al.
Serial No: 09/965,101
Confirmation. No: 3959
Filed: September 26, 2001
For: VECTORS AND METHODS FOR IMMUNIZATION OR
THERAPEUTIC PROTOCOLS
Examiner: Nguyen, Dave Trong
Art Unit: 1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 16th day of April, 2004.


Christina P. Andrews

Mail Stop ISSUE FEE
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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Part B - Issue Fee Transmittal
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Serial No.: 09/965,101
Docket No.: C1039.70057US00
Page 2 of 2

A check in the amount of \$1630.00 is enclosed to cover the issue and publication fees. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
Krieg et al., Applicant

By: *Pct R H Wall*
Patrick R.H. Waller, Ph.D.,
Reg. No.: 41,418
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617)720-3500

Docket No. C1039.70057US00
Date: April 16, 2004
xApril 16, 2004x